



PATENT APPLICATION Do. No. 5387-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Min-Ho CHA

Serial No.

09/509,326

Examiner: Harish T. Dass

Filed:

March 24, 2000

Group Art Unit: 3628

For:

AUTOMATIC ORDERING METHOD AND SYSTEM FOR TRADING OF

STOCK, BOND, ITEM, FUTURE INDEX, OPTION, INDEX AND SO ON

BOX NON FEE AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

RECEIVED
AUG 2 2 2002

GROUP 3600

RESPONSE TO OFFICE ACTION

Responsive to the Office Action, dated May 10, 2002, please amend the application as follows.

IN THE SPECIFICATION

Replace the paragraph beginning at page 8, line 17 and ending at page 8, line 19 with the following paragraph

In case a securities company invests in a stock on behalf of a client, hardware and software resources of the user computer 10 are integrated to the computer system 20 of the securities company.

Replace the paragraph beginning at page 11, line 22 and ending at page 12, line 7 with the following paragraph

If the selling order is not contracted on the same day, same order is generated by computer every day until the selling order is concluded. If the order is contracted, the account remainder and share residual quantity are amended, step 320, and the computer discriminates whether or not the condition is met according to the previously set-up second automatic trade condition. If the second condition is met, a new order is immediately generated. In other words, as soon as the first a trade is concluded, a second trade order is actually created. A stock trade order is automatically generated by the computer as much as the automatic trade condition is established according to this method. When the established automatic trade

00